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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Peter A. Fortman  
Serial No. : 10/821,181  
Filed : April 9, 2004  
Title : COMMUNICATING DIAGNOSTIC INFORMATION OF AN ACTIVE MODEM  
SESSION TO AN ONLINE SERVICE

**Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicant submits the references listed on the attached form PTO-1449.

This statement is being filed within three months of the filing date of the application or before the receipt of a first Office Action on the merits. Please apply any charges or credits to Deposit Account No. 06-1050.

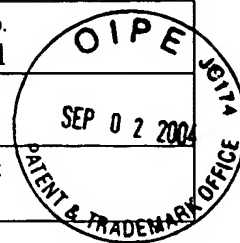
Respectfully submitted,

Date: 9/2/2004

  
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Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. <b>06975-349001</b>	Application No. <b>10/821,181</b>
	Applicant <b>Peter A. Fortman</b>		
	Filing Date <b>April 9, 2004</b>	Group Art Unit	



U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AG							
	AH							
	AI							
	AJ							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AK	"Data Over Cable Interface Specifications: Cable Modem Termination System- Network Side Interface Specification," SP-CMTS-NSII01-960702, Copyright 1996, MCNS Holdings, L.P.
	AL	Hamzeh, Kory et al., "Point-to-Point Tunneling Protocol – PPTP draft – ietf-pppext-pptp-00.txt" Internet Draft, June 1996.
	AM	"Layer 2 Tunnel Protocol," Release 12.0(1)T and 11.3(5)AA.
	AN	Microsoft Corporation, "Unimodem Diagnostics Command Reference Specification," Version 1.0, July 2, 1998.
	AO	PCTechGuide, "The PC Technology Guide – Serial Comms," <a href="http://www.pctechguide.com/17scomms.htm">http://www.pctechguide.com/17scomms.htm</a> .
	AP	Telstra BigPond Home – Support – Frequently Asked Questions, "Modems – Frequently Asked Questions," <a href="http://www.bigpond.com/home/support/faqs/modems.asp?stage=1">http://www.bigpond.com/home/support/faqs/modems.asp?stage=1</a> .
	AQ	Townsley, et al., "Layer Two Tunneling Protocol L2TP," Network Working Group, August 1999, <a href="http://www.waterspings.org/pub/rfc/rfc2661.txt">http://www.waterspings.org/pub/rfc/rfc2661.txt</a> .
	AR	Verma, et al., "L2TP Disconnect Cause Information," Network Working Group, July 2001.
	AS	V.92, "The Next Generation in Modem Tech.," About V.92 – Handshake Sequence, <a href="http://www.v92.com/about/handshake.html">http://www.v92.com/about/handshake.html</a> .

Examiner Signature	Date Considered
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EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.